

# CONTRACT COMPLIANCE MONITORING - STATUS UPDATE

Concession Agreement for the US 36 and I-25  
Managed Lanes

Colorado High Performance Transportation Enterprise Board Meeting  
August 17, 2016

# Topics

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# Introductions



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# Summary of RSM Activities

July 22, 2015–July 30, 2016

## Activities

- Participated in numerous planning, status, and compliance meetings with LS Gallegos (LSG) personnel
- Delivered monthly status reports to the High Performance Transportation Enterprise (HPTE)
- Participated in conference calls with Florida Department of Transportation personnel to gain an understanding of their compliance program, monitoring activities, and best practices/lessons learned
- Accompanied LSG in a road inspection to further understand LSG's processes and procedures related to technical compliance monitoring activities
- Developed a risk-based annual compliance testing plan with frequency of testing determined by assigning risk to each event included in the plan
- Completed compliance testing for Q3 2015 (July 22, 2015-September 30, 2015) activities, including monitoring of work performed by LSG, and issued a report summarizing the work performed and results achieved (no significant issues were noted)
- Attended monthly US36 services meetings with CDOT, HPTE, LSG, Plenary and Broadspectrum personnel
- Provide consultative advice to HPTE and LSG regarding key aspects of the Agreement as they pertain to contract compliance monitoring or potential issues of noncompliance events

# Summary of LS Gallegos Activities

July 22, 2015–July 30, 2016

## Activities

- Conducted road inspections approximately twice per week, focusing on technical aspects of the Agreement, including maintaining evidence of potential issues of noncompliance
- Monitored Broadspectrum's use of SAP to document the timely resolution of events (e.g. road damage; safety issues; signage; drainage; graffiti)
- Monitored compliance with snow removal during snow events
- Elevated potential issues of noncompliance to HPTE
- Conducted monthly US 36 service meetings including preparation and distribution of meeting minutes and action lists
- Delivered Monthly Status Reports to RSM to be included in the monthly reports submitted to the High Performance Transportation Enterprise (HPTE)

# Summary of noncompliance events

July 22, 2015–July 30, 2016

- Observed by LS Gallegos
  - Weed-mowing, drainage, graffiti
- Observed by Concessionaire
  - Automatic vehicle identification error
  - Toll-schedule error
  - Interruption of variable toll message sign

# Upcoming Activities

## Activities

- Prepare and distribute document request list for quarterly testing (anticipate next round of quarterly testing to be performed in Q4 2016)
- Participate in monthly service meetings with HPTE, CDOT, LSG, Plenary and Broadspectrum
- Contact additional states to discuss their compliance efforts for similar agreements and share relevant feedback with HPTE
- Continue to monitor significant activities or changes to the Agreement and update the risk rankings or quarterly testing plan as needed

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