

COLORADO DEPARTMENT OF TRANSPORTATION CERTIFICATE OF PROPOSED UNDERUTILIZED DBE (UDBE) PARTICIPATION	Project No.:	
	Project Code (SA#):	
	Location:	Form #: of

Prime Contractor – Send completed/signed form to CDOT. The “Eligible UDBE Amounts” submitted on this form must equal or exceed the commitment(s) documented on the **CDOT Form 714** you submitted with your bid. For the complete list of certified DBE/UDBE firms and their DBE work codes go to http://www.dot.state.co.us/app_ucp/

NOTE: This Form 715 applies to DBE participation of truckers, subcontractors, subconsultants, suppliers and service providers toward the Project’s UDBE goal.

PART 1a – TRUCKING CONTRACT

If the UDBE is being used as a trucker for one or more “trucking” DBE work codes (25500, 25505 etc.) then:

- **ACTUAL UDBE AMOUNT = Actual contract amount for the transportation services provided by the UDBE firm and any UDBE lessees.**
- **ELIGIBLE UDBE TRUCKING AMOUNT = [(ACTUAL UDBE AMOUNT) – (Any non-UDBE lessee amounts in this contract)*]**

* For work done on this UDBE contract with non-UDBE lessees, credit toward the Project UDBE goal is given only for the broker fees or commissions the UDBE trucker receives for arranging the transportation services, because the services themselves are being performed by non-UDBEs.

NAME OF UDBE FIRM	CERTIFICATION #	EXPIRATION DATE	ELIGIBLE UDBE TRUCKING AMOUNT
		/ /	\$
DBE WORK CODE NUMBER(S) THIS UDBE IS BEING USED FOR : <i>Complete list of work codes is at http://www.dot.state.co.us/app_ucp/</i>			

PART 1b – SUBCONTRACT/SUBCONSULTANT AGREEMENT

- **ELIGIBLE UDBE SUBCONTRACT/SUBCONSULTANT AMOUNT = [(Actual UDBE contract amount) – (Any non-UDBE lower tier amounts in this contract)*]**

* Work that a UDBE Subcontractor/subconsultant subcontracts to a lower tier non-UDBE firm does not count toward the project UDBE goal.

NAME OF UDBE FIRM	CERTIFICATION #	EXPIRATION DATE	ELIGIBLE UDBE SUBCONTRACT OR SUBCONSULTANT AGREEMENT AMOUNT
		/ /	\$
DBE WORK CODE NUMBER(S) THIS UDBE IS BEING USED FOR : <i>Complete list of work codes is at http://www.dot.state.co.us/app_ucp/</i>			

PART 1c – SUPPLY CONTRACT

If the supplier is a UDBE with a “Type” field of “**Manufacturer**” for the item(s):

- **ELIGIBLE UDBE SUPPLY AMOUNT = [(Actual UDBE Contract amount) X 100%]**

If the supplier is a UDBE with a “Type” field of “**Regular Dealer**” for the item(s):

- **ELIGIBLE UDBE SUPPLY AMOUNT = [(Actual UDBE Contract amount) X 60%]**

NOTE: If the supplier is a UDBE with a “Type” field of “**Broker**” for the item(s) use **PART 1d – BROKER / SERVICE CONTRACT.**

NAME OF UDBE FIRM	CERTIFICATION #	EXPIRATION DATE	ELIGIBLE UDBE SUPPLY AMOUNT
		/ /	\$
DBE WORK CODE NUMBER(S) THIS UDBE IS BEING USED FOR : <i>Complete list of work codes is at http://www.dot.state.co.us/app_ucp/</i>			

PART 1d – BROKER / SERVICE CONTRACT

If purchasing materials or supplies through a UDBE with a “Type” field of “**Broker**”, count **only** the amount of brokerage commission and/or delivery service fees included in the contract. Other examples of services to include in this section are bonding, brokering, consulting, security guards, and insurance etc.

- **ELIGIBLE UDBE SERVICE FEE AMOUNT = Actual compensation retained by the UDBE broker/agent for services rendered***

* The amounts that count toward UDBE goals are limited to the compensation retained by the UDBE broker/agent for services rendered, provided the fee/commission is determined by CDOT to be reasonable and not excessive as compared with fees customarily charged for similar services.

NAME OF UDBE FIRM	CERTIFICATION #	EXPIRATION DATE	ELIGIBLE UDBE SERVICE FEE AMOUNT
		/ /	\$
DBE WORK CODE NUMBER(S) THIS UDBE IS BEING USED FOR : <i>Complete list of work codes is at http://www.dot.state.co.us/app_ucp/</i>			

PART 2 – UDBE PARTICIPATION SUMMARY

<p>A) What is the total dollar value of this proposed trucking, subcontract, subconsultant, supply, OR broker/service contract that is eligible for counting toward Contract goals?</p> <p>A = [TOTAL FROM "ELIGIBLE" COLUMNS IN PART 1]</p> <p>NOTE: Provide in actual subcontractor/subconsultant dollars and not prime contract prices.</p>	<p>A> \$</p>
<p>B) What is the total dollar value of proposed subcontracts with subcontractors/subconsultants/suppliers that are eligible for counting towards contract goals from prior sheets/forms?</p>	<p>B> \$</p>
<p>C) What is the accumulative value of proposed subcontracts/subconsultant or supplier agreements that are eligible for counting towards contract goals?</p> <p>C = [A + B]</p>	<p>C> \$</p>
<p>D) What is the original Contract bid total?</p>	<p>D> \$</p>
<p>E) What is the accumulative percent of Contract bid total subcontracted to all underutilized DBEs?</p> <p>E = [(C ÷ D) X 100]</p>	<p>E> %</p>

PART 3 – UDBE CONFIRMATION

<p>I confirm that my company is participating in this Contract as documented in the Prime Contractor's commitment(s) in PART 1 of this form. Only the value of the Work that my company is <u>actually performing</u> is being counted on this form.</p>	
<p>UDBE Firm Name:</p>	<p>Date: / /</p>
<p>UDBE Representative Signature and Title:</p>	

PART 4 – PRIME CONTRACTOR CERTIFICATION

<p>I certify that:</p> <ul style="list-style-type: none"> my company has met the Contracted UDBE goals or has submitted a completed CDOT Form #718. my company has accepted a proposal from the UDBE named above. my company has notified the proposed UDBE of the contracted UDBE commitment. my company has ensured that the proposed UDBE has signed PART 3 of this form. my company's use of the proposed UDBE for the items of Work listed above is a condition of the Contract award. my company will invite the proposed UDBE to attend the preconstruction conference. my company will not use a substitute UDBE for the proposed UDBE's failure to perform under a fully executed Subcontract or agreement, unless my company complies with the definitions and requirements section of the DBE Special Provisions. I understand that failure to comply with the information shown on this form will be considered grounds for CDOT penalties or Contract termination. <p>I declare under penalty of perjury in the second degree, and any other applicable state or federal laws, that the statements made on this document are true and complete to the best of my knowledge.</p>	
<p>Prime Contractor Name:</p>	<p>Date: / /</p>
<p>Officer Signature and Title:</p>	

FORM INSTRUCTIONS

<p>Prime Contractor:</p> <ol style="list-style-type: none"> An officer of the contractor(s) must complete this form. Include only DBE firms which meet the underutilized criteria in the contract goal specification for this Project (i.e., UDBE firms). Complete only relevant section(s) for PART 1. Ensure that the proposed UDBE has signed PART 3 of this form. Complete ALL sections of PART 2 and PART 4. Submit a separate CDOT Form #715 for EACH proposed UDBE. Retain a photocopy for your records. Send original to the CDOT Project Director. 	
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